For Six Month Period Ending July 31, 2010 I - REGISTRANT 1. (a) Name of Registrant (b) Registration No. 4274 Representative of German Industry & Trade (c) Business Address(es) of Registrant 1776 I Street, NW Suite 1000 Washington, DC 20006 2. Has there been a change in the information previously furnished in connection with the following: If an individual: (a) (1) Residence address(es) Yes  $\square$ No 🗌 (2) Citizenship Yes  $\square$ No 🔲 (3) Occupation Yes  $\square$ (b) If an organization: (1) Name Yes  $\square$ No 💌 NSD/CES/REGISTRATION UNIT (2) Ownership or control No **≭** Yes  $\square$ 2010 SEP -2 PM 4: 25 (3) Branch offices Yes  $\square$ No 💌 Explain fully all changes, if any, indicated in items (a) and (b) above. (c) IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4 AND 5(a). 3. If you have previously filed Exhibit C<sup>1</sup>, state whether any changes therein have occurred during this 6 month reporting period. No 🛛 If yes, have you filed an amendment to the Exhibit C? Yes  $\square$ No 🔲 If no, please attach the required amendment.

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any personal period?	ons ceased acting as partners, office Yes \(\sime\) No \(\mathbb{\neq}\)	rs, directors or similar officia	us of the registrant dur	ing this o month reporting
If yes, furnish the f	following information:			
Name	Posit .	ion	Date o	connection ended
(b) Have any perso	ons become partners, officers, direct Yes □ No 🗷	ors or similar officials during	g this 6 month reportin	g period?
If yes, furnish the fo	ollowing information:			
Name	Residence address	Citizenship	Position	Date assumed
5. (a) Has any persor	named in item 4(b) rendered service	es directly in furtherance of	the interests of any for	eign principal?
(b) Have any emplo	Yes No No n such person and describe his servi	a short form registration sta		ir employment or
If yes, furnish the f	ollowing information:			
Name	Position or co	nnection	Date terminated	
or will render se	onth reporting period, has the regist rvices to the registrant directly in fu a related or similar capacity? Yes	rtherance of the interests of		
If yes, furnish the fo	ollowing information:			
Name	Residence address	Citizenship	Position	Date assumed
	gistration statements been filed by a	Yes 🗷 No		ne supplemental statement?

### II - FOREIGN PRINCIPAL

	nnection with any foreign principal Yes		reporting period?	
If was furnis	n the following information:	No 🗷		
•	-		D	
Name of for	reign principal		Date of termination	n
8 Have you ac	quired any new foreign principal <sup>2</sup>	during this 6 month reporti	ng neriod?	
o. Have you ac	Yes	No 🗷	ng period.	
If yes, furnish	n the following information:			
Name and a	ddress of foreign principal		Date acquired	
9. In addition to reporting per	those named in Items 7 and 8, if a riod.	any, list foreign principals	whom you continued to repr	esent during the 6 month
	of German Industries (Bundesverb			
Association	of German Chambers of Industry a	and Commerce (Deutsche	r Industrie und Handelskam	mertag / DIHK)
10. ЕХНІВ	ITS A AND B			
10. <b>EXHIB</b> (a)	ITS A AND B  Have you filed for each of the ne	ewly acquired foreign princ	cipals in Item 8 the following	<u> </u>
	Have you filed for each of the ne Exhibit A <sup>3</sup> Yes	□ No □	cipals in Item 8 the following	
	Have you filed for each of the ne		cipals in Item 8 the following	:
	Have you filed for each of the ne Exhibit A <sup>3</sup> Yes	□ No □ No □	cipals in Item 8 the following	:
	Have you filed for each of the need to be seen any changes in the seen and seen any changes in the seen any changes in the seen and seen any changes in the seen and see	□ No	ously filed for any foreign pr	incipal whom you
(a)	Have you filed for each of the need to be seen to be se	□ No		incipal whom you
(a)	Have you filed for each of the need to be seen any changes in the seen and seen any changes in the seen any changes in the seen and seen any changes in the seen and see	No N	ously filed for any foreign pr	incipal whom you
(a)	Have you filed for each of the need to be seen any changes in the represented during the 6 month process.	No N	ously filed for any foreign pr Yes	incipal whom you

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9).) A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157), sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155), sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

## **III - ACTIVITIES**

	During this 6 month reporting period, have you engaged in any activit named in Items 7, 8, and 9 of this statement? Yes ☐	ies for or rendered any services to any foreign principal No □
If	If yes, identify each such foreign principal and describe in full detail	your activities and services:
U C C	On the 26th of April, Werner Schnappauf, the chief executive of the Under Secretary Francisco Sanchez, as well as Juan Verde, Deputy & Office of European Country Affairs in the Department of Commerce Commerce Department to discuss matters including transatlantic of Registrant RGIT facilitated these meetings.	Assistant Secretary for Europe, Jay Burgess, Director of the e, and Alexander Gorshenin, Germany Desk Officer in the
12. Di	During this 6 month reporting period, have you on behalf of any foreign Yes No 🗷	gn principal engaged in political activity as defined below?
the arr	If yes, identify each such foreign principal and describe in full detail a the relations, interests and policies sought to be influenced and the mearranged, sponsored or delivered speeches, lectures or radio and TV becames of speakers and subject matter.	ans employed to achieve this purpose. If the registrant
	In addition to the above described activities, if any, have you engaged your foreign principals?  Yes  No	in activity on your own behalf which benefits any or all of
yo	Your foreign principals?	
-	If yes, describe fully.	ncinals and the member associations of the PDI on matters
rar we An	Registrant RGIT regularly reports in German and English to RGIT's pri ranging from pending legislation to new policy initiatives. RGIT prep website, www.rgit-usa.com, or distributed via e-mail to RGIT's princi Among these publications is RGIT's weekly "Washington News", a Ge areas ranging from trade policty to energy policy.	pares brief written reports which are either posted to RGIT's pals, affiliated trade associations, and member companies.

<sup>5</sup> The term "political activities" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

### IV - FINANCIAL INFORMATION

14. (a)		other source, for or i	you received from any foreign n the interests of any such fore Yes ⊠ No □		
	If no, explain why.				
	•				
	If yes, set forth below in	n the required detail	and separately for each foreign	n principal an account of s	uch monies <sup>6</sup> .
	Date	From whom	Purpose		Amount
	2/9/2010	DIHK	Operating expenses		\$93,800
	3/11/2010	п	п		\$107,288
	4/22/2010	n	11		\$112,497
	5/21/2010	11	π		\$30,477
	6/7/2010	II	II .		\$85,264
	7/8/2010	n 	11		\$118,541
	8/13/2010	"	11		\$83,504
					\$631,372
					Total
(b)	RECEIPTS – FUND RAI	ISING CAMPAIGN			
(-)			you received, as part of a fund	raising campaign <sup>7</sup> , any mo	oney on behalf of any
	foreign principal named			No 🗷	
				_	
	If yes, have you filed an	Exhibit D <sup>8</sup> to your	registration? Yes	No 🗌	
	If was indicate the data	the Euhihit Days fi	lad Data		
	If yes, indicate the date	the Exhibit D was ii	led. Date	<del></del>	
(c)	RECEIPTS - THINGS O	NE WALLIE			
(0)			ou received any thing of value	e9 other than money from	any foreign principal
			or from any other source, for o		
	Yes No [				io. e.g., printerpari
		<del></del>			
	If yes, furnish the follow	ving information:			
	Name of	Date	Description of		
	foreign principal	received	thing of value	Purpose	
	• •		-	•	

<sup>6, 7</sup> A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign.

(See Rule 201(e).)

8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fund raising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

	NTS – MONIES month reporting period, have	you			
* .	or expended monies in connetatement?	ection with activity	on behalf of a Yes 🗷	ny foreign principa No □	Il named in Items 7, 8, or
(2) transmitte	ed monies to any such foreign	principal?	Yes 🗌	No 🗆	
If no, explain	in full detail why there were	no disbursements n	nade on behalf	of any foreign prin	ncipal.
•	below in the required detail itted, if any, to each foreign p	•	each foreign pr	rincipal an account	of such monies, including  Amount
Date	10 WHOH	ruipose			Amount
5/24/2010	Earl Thomas Coleman	Consulting fee			\$10,000
7/12/2010		"			

\$20,000

Total

(b	During this 6 mor		ave you disposed of anything any foreign principal named ir		
	If yes, furnish the	following information	1:		
	Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
					·
(c)	During this 6 mor other person, mad or in connection v	le any contributions of	ave you from your own funds money or other things of valu on, convention, or caucus held	ie <sup>11</sup> in connection with an e	lection to any political office
	If yes, furnish the	following information	:		
	Date	Amount or thing of value	Name of political organizatio	n	Name of candidate

## V - INFORMATIONAL MATERIALS

16.	During this 6 month reporting Yes 🔀		eriod, did you prepare, o No 🔲	issemir	ate or cause to	be dissem	inated any	inforr	national materials 12?
	IF YES, RESPOND TO TH	IE R	EMAINING ITEMS IN	SECT	ON V.				
17			1						
17.	Identify each such foreign p		•						
	Federation of German Indu Association of German Cha						iandelskai	mmert	ag / DIHK)
18.	During this 6 month reporting finance your activities in pre-	•		-		_	llocated a	-	ed sum of money to
	If yes, identify each such for	reign	principal, specify amo	ınt, and	indicate for w	hat period	of time.		
	, 60, 140,111., 040,111.	5	, p , «p. « ,  »	,		porrou	o		
19.	During this 6 month reporting materials include the use of			in prep	aring, dissemin	nating or ca	ausing the	dissen	nination of informational
	Dadia as TV	<u>.</u>	Magazina ar nausmana	. –	l Matian miat	Cl			Lattone on telegrams
Ц	broadcasts		Magazine or newspape articles	L	Motion pict			Ц	Letters or telegrams
	Advertising campaigns	×	Press releases		Pamphlets of	or other pul	olications		Lectures or speeches
×	Internet		Other (specify)					_	
20.	During this 6 month reportir following groups:	ng pe	riod, did you dissemina	te or ca	ise to be disse	minated in	formation	al mate	rials among any of the
	Public officials		☐ Newspape	rs			Librari	ies	
×	Legislators		☐ Editors				] Educat	tional i	nstitutions
	Government agencies		☐ Civic grou	ips or a	sociations		Nation	ality g	roups
	Other (specify)						<u> </u>		
21.	What language was used in t	the ir	nformational materials:					-	
×	English				Other (sp	ecify) _			
	Did you file with the Registr							rmatio	nal materials
diss	eminated or caused to be diss	semii	nated during this 6 mon	h repor	ing period?	Yes	$\times$	No	
23.	Did you label each item of si			with the	statement req	uired by Se	ection 4(b)	of the	Act?
	Yes	×	No 🗌						

<sup>12</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

### VI - EXECUTION

In accordance with 28 U.S.C. §1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to the truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature )	(Type or print name under each signature <sup>13</sup> )
August 31, 2010	Pere Esser
•	

# UNITED STATES DEPARTMENT OF JUSTICE FARA REGISTRATION UNIT NATIONAL SECURITY DIVISION WASHINGTON, D.C. 20530

## **NOTICE**

Please answer the following questions and return this sheet in triplicate with your Supplemental Statement:

1.	Is your answer to Item 1	6 of Section V (Informational Materials – page 8 o	f Earm NSD 12
	rly Form CRM-154 Suppl	`	i roiiii NSD-2,
	YES	or NO	
(If you	ir answer to question 1 is '	"yes" do not answer question 2 of this form.)	2010 2010
2.	Do you disseminate any	material in connection with your registration:	SEP SEP
	YES	or NO	alsTI
films,		"yes" please forward for our review copies of all m chures, press releases, etc. which you have dissemine	
Sign	A 2	B/SI/Ralo  Date	
	type or print name of ory on the line above	<u>-</u>	



### U.S. Department of Justice

### National Security Division

Washington, DC 20530

# THIS FORM IS TO BE AN OFFICIAL ATTACHMENT TO YOUR CURRENT SUPPLEMENTAL STATEMENT - PLEASE EXECUTE IN TRIPLICATE

### SHORT-FORM REGISTRATION INFORMATION SHEET

#### SECTION A

The Department records list active short-form registration statements for the following persons of your organization filed on the date indicated by each name. If a person is not still functioning in the same capacity directly on behalf of the foreign principal, please show the date of termination.

## Short Form List for Registrant: Representative of German Industry and Trade

Last Name	First Name and Other Names	Registration Date	Termination Date	Role	
Esser Welschke	Peter Joseph Christian Bernhard	12/16/1998 05/05/2006			

MSD/CES/REGISTRATION OF 26



## U.S. Department of Justice

## **National Security Division**

Washington, DC 20530

### **SECTION B**

In addition to those persons listed in Section A, list below all current employees rendering services directly on behalf of the foreign principals(s) who have not filed short-form registration statements. (Do not list clerks, secretaries, typists or employees in a similar or related capacity). If there is some question as to whether an employee has an obligation to file a short-form, please address a letter to the Registration Unit describing the activities and connection with the foreign principal.

Name	Function	Date Hired
		·

NSD/CES/REGISTRATION UNIT

### REPRESENTATIVE OF GERMAN INDUSTRY AND TRADE

DELEGIERTER DER DEUTSCHEN WIRTSCHAFT

ASSOCIATION OF GERMAN
CHAMBERS OF INDUSTRY AND COMMERCE
DIHK

FEDERATION OF GERMAN INDUSTRIES B D I

July 22, 2010

The Honorable Steny Hoyer Majority Leader, United States House of Representatives H-107, The Capitol Washington D.C. 20515

Dear Majority Leader Hoyer,

On behalf of the Federation of German Industries and the Association of German Chambers of Industry and Commerce, we are writing to express serious concerns regarding legislation currently before Congress.

Specifically, the Foreign Manufacturers Legal Accountability Act, embodied in H.R. 4678 and S. 1606, presents foreign business entities, including those with significant investments in the United States, with a unique burden comprising both the requirement to appoint a registered agent in the United States, and to concurrently consent to the jurisdiction of a state to which the foreign manufacturer may in fact have only extremely limited connections.

Foreign manufacturers in any number of business sectors who import products to the United States are already obligated to register with the federal government, for example with the Food and Drug Administration in the case of pharmaceuticals, medical equipment and foodstuffs. As such, adding another layer of regulatory requirements overseen by various agencies that would likely implement disparate interpretations of the law could further complicate matters for foreign manufacturers. Moreover, the increased regulatory and bureaucratic burden would also quite probably have negative consequences for distributors or established affiliates in the United States acting on behalf of foreign manufacturers.

German industry is cognizant of the fact that flawed products of foreign origin do occasionally enter the U.S. market. In isolated instances, foreign manufacturers may

NSD/CES/REGISTRATION UNIT 2010 SEP -2 PM 4: 25 shirk their responsibility to the consumer when economic or material injury arises in connection with the purchase and use of defective products. But the overwhelming majority of foreign manufacturers produce quality goods and, where defects arise, undertake steps to make the consumer whole. While neither existing domestic nor international law offer the full scope of remedies necessary to enable an injured U.S. party to obtain compensation from a scofflaw manufacturer, it should be noted that even if H.R. 4678 was enacted and foreign manufacturers appointed registered agents, the absence of a guaranteed mechanism by which a judgment for money damages rendered in a U.S. court could be enforced against a foreign corporation with assets outside the United States would prove ultimately frustrating to the U.S. consumer.

Moreover, the bill may be violative of U.S. international treaty obligations under the U.S.-Germany Friendship, Commerce and Navigation Treaty, the World Trade Organization Agreements, the Hague Convention on the Service Abroad of Judicial and Extrajudicial Documents in Civil or Commercial Matters, and the Hague Convention on the Taking of Evidence Abroad in Civil or Commercial Matters. Should the United States effectively withdraw as a signatory to the Hague Convention on the Service Abroad of Judicial and Extrajudicial Documents in Civil or Commercial Matters by bypassing the treaty, international procedural law might revert back to a patchwork system of bilateral agreements and Letter Rogatory, which would ultimately fail to serve the best interests of any nation.

Another complicating factor lies in the fact that the "minimum amount" hurdle triggering the requirement to register for service of process is not set by the legislation. Rather, the different agencies referenced in the law would be required to establish the minimum amount for the products they regulate. The manager's amendment introduced in the June 30, 2010 markup in the House Energy and Commerce subcommittee did little to resolve the many ambiguities raised by the minimum amount issue. Given that the legislation affords a various governmental entities including the Food and Drug Administration, the Consumer Product Safety Commission, the Environmental Protection Agency, and the National Highway Traffic Safety Administration broad leeway with regard to establishing regulatory details, it is conceivable and even quite possible that for purposes of determining whether a foreign manufacturer lies above or below a "minimum amount" threshold, disclosure of revenues and volumes would have to be made to the government for scrutiny and approval. This is remarkably invasive, and could be reason enough for many foreign manufacturers to focus on other markets as an alternative to the United States, thus jeopardizing established trade connections and in some cases U.S. jobs.

Finally, the Foreign Manufacturers Legal Accountability Act could, should it become the law of the land, very likely prove to be immeasurably damaging to established international business relationships and most particularly could become a major impediment to further growth in transatlantic business. The perception that this is a

discriminatory bill may well lead to retaliatory measures on the part of trade partners. An in-kind response from foreign countries, including new regulations and the deluge of lawsuits against American manufacturers that would follow, would undeniably be deleterious to trade with negative consequences for all parties involved.

In view of the above, we respectfully request that you and others in Congress who have taken the initiative with regard to the Foreign Manufacturers Legal Accountability Act reconsider this legislation.

Sincerely,

Bernhard Welschke

President

Representative of German Industry and Trade

NSD/CES/REGISTRATION UNIVERSED / CES/REGISTRATION UNIVERSED / PM 4: 25

### **About RGIT**

The Representative of German Industry and Trade (RGIT) is the liaison office of the Federation of German Industries (BDI) and the Association of German Chambers of Industry and Commerce (DIHK) in Washington

RGIT represents the interests of the German business community vis-à-vis both the U.S. administration and the international organizations based in Washington, D.C. We report regularly on economically significant developments as well as legislative activities in the U.S. and provide our partners in the United States with information on German business.

RGIT cooperates with the German American chambers of Commerce in New York, Atlanta, san Francisco and Chicago. The fostering of bilateral trade relations and investment and the provision of consulting services to companies with business interests in the United States are among the key tasks of the chambers.

Additional information is on file with the Department of Justice.

NSD/CES/REGISTRATION UNIT 2010 SEP -2 PH 4: 26